LBNL COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENT

STATEMENT OF ECONOMIC INTEREST

Related to

CRADA NO. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

between

THE UNIVERSITY OF CALIFORNIA

and

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

The following statement concerning possible conflicts of interest for employees of the University of California having a substantial role (including an advisory role) in the preparation, negotiation, or approval of CRADA documents is hereby executed pursuant to Clauses 1.138 (n)(5) of Contract DE-AC02-05CH11231.

I, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, hereby certify to the best of my knowledge that neither I, nor my spouse, child, parent, sibling or partner, or an organization (other than the University of California) in which I serve as an officer, director, trustee, partner or employee;

a. holds financial interest in any entity, other than the University, that has a substantial interest in the preparation, negotiation, or approval of this CRADA; or

b. receives a gift or gratuity from any entity, other than the University, that has a substantial interest in the preparation, negotiation, or approval of this CRADA.

I further certify that I do not have a financial interest in any entity, other than the University, that has a substantial interest in this CRADA, and that I am not negotiating nor do I have an arrangement concerning prospective employment with any entity that has a substantial interest in the preparation, negotiation or approval of this CRADA.

Except as follows: NONE

[If none, write NONE. If any disclosure is to be made, please state specific details so that the Contracting Officer may determine the possible consequences of your involvement in this CRADA.]

Executed this day of , 2\_\_\_\_ at Berkeley, California.

By: